



# Subrecipient Commitment Form

All subrecipients, as well as potential subcontractors who anticipate funding under a federal or non-federal contract, should complete this form when participating as a subrecipient or subcontractor in a proposal submitted by Kennesaw State University.

## PART I: SUBRECIPIENT INFORMATION

Subrecipient Legal Name:

Address:

City:

State:

Zip Code:

Organization Type:

Subrecipient UEI:

Subrecipient EIN:

Congressional District:

Is the entity registered in Sam.gov?

Yes

No

If yes, provide the renewal date:

## PART II: Project Specific Information

Subrecipient PI:

KSU PI:

Prime Sponsor:

Project Title:

Subrecipient Performance Period Begin Date:

End Date:

Total Amount Requested:

Cost Share (if applicable):

Place of Performance:

Check box if same as above

Address:

City:

State:

Zip Code:

The following documents are included in our subaward proposal submission and covered by the certifications below:

Scope of Work

Biographical Sketches

Budget (in agency required format)

Facilities and Other resources

Budget Justification

Other:

The Subrecipient's Scope of Work includes:

Human Subjects

If checked, have all key personnel completed human subjects training? Yes

No

If checked, provide Subrecipient's FWA#

Vertebrate Animals

If checked, provide Subrecipient's OLAW#

Recombinant DNA

Embryonic Stem Cells

### **PART III: CERTIFICATIONS**

**To be completed only by Subrecipients NOT participating in the FDP Clearinghouse. Those in FDP Clearinghouse, skip to PART V.**

#### **Indirect Cost Rates**

The rates included in this proposal have been calculated based on:

Our federally-negotiated F&A rates for this type of work, or a reduced F&A rate that we hereby agree to accept. (If this box is checked, a copy of your F&A rate agreement must be furnished to via hard copy, website, or email before a subaward will be issued.)

Link:

No federal negotiated rate and we hereby agree to accept the 10% de minimis MTDC rate as a subrecipient. In the case of NIH: NIH will continue to reimburse IDC costs to foreign and international organizations at a rate of 8 percent of modified total direct costs (MTDC) less only equipment.

A reduced IDC rate dictated by the prime sponsor that we hereby agree to accept.

Not applicable (no indirect cost request for subrecipient)

#### **Fringe Benefits Rates**

The rates included in this proposal have been calculated based on:

Rates are consistent with our federally negotiated rates.

Other rates (please specify the basis on which the rate has been calculated in PART IV):

#### **Financial Conflict of Interest**

Prime sponsor is not a PHS Agency:

Subrecipient has an active and enforced policy on conflict of interest.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by KSU policy.

Prime Sponsor is a PHS Agency:

Subrecipient has an active written and fully enforced FCOIR policy that complies with the PHS regulations (at 42 C.F.R. Part 50, Subpart F and 45 C.F.R. Part 94). Subrecipient certifies it will:

- Promote and enforce investigator compliance with the PHS regulations, including those pertaining to required disclosure of significant financial interests (SFIs);
- Manage any financial conflicts of interest (FCOIs) and provide initial and ongoing reports as detailed in the PHS regulations, as well as in the subaward agreement; and
- Make FCOI and SFI information promptly available to KSU upon request.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by KSU policy.

## Ethics in Research Training

Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.

Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.

Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.

## Debarment, Suspension, Proposed Debarment

Yes      No      Is the PI or any other employee or student participating in this project, debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities?

If “Yes,” please explain in PART IV at the end of the form. *Note: Subawards to any entity or individual included in the Federal Excluded Parties are prohibited.*

If “No”, the Organization Certifies they (answer all questions below):

Are      Are not      presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts.

Are      Are not      presently indicted for, or otherwise criminally or civilly charged by a government agency.

Have      Have not      within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining , attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commissions of contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements or receiving stolen property.

Have      Have not      within 3 years preceding this offer, had one or more contracts terminated for default by any federal agency.

## Audit Status

Yes      No      Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or Uniform Guidance Subpart F, Audit Requirements for the most recent Audit year?

If “Yes”, A complete copy of subrecipient's most recent audit report, or the Internet URL link to a complete copy, must be furnished to SPA before a subaward will be issued.

Link:

Yes      No      Were there any audit findings reported?

If “Yes”, please provide a certificate of compliance and/or management plan.

## Financial Systems

Subrecipient certifies that its financial system is in accordance with the generally accepted accountings principles and:

has the capability to identify, in its accounts, all Federal awards received and associated expenses as well as the Federal programs under which they were received.

maintains internal controls to assure that it is managing Federal awards in compliance with applicable laws, regulations, and the provisions of contracts and grants.

complies with applicable laws and regulations.

can prepare appropriate financial statements, including the schedule of expenditures of federal awards.

there are no outstanding financial audit findings which would impact subaward agreement costs.

If there are findings, submit a copy of the most recent report that describes the finding(s) and steps to be taken to correct the finding(s).

### PART IV COMMENTS:

### PART V INSTITUTION APPROVAL

**APPROVED FOR SUBRECIPIENT:** The information, certifications and representations above have been read, signed and made by an authorized official of the subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary inter- institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.

Signature of Subrecipient Authorized Official:

Date:

Type or print name and title of Authorized Official: